SEC Form 4
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			of Section So(n) of the Investment Company Act of 1940						
1. Name and Address of	Reporting Person	*	2. Issuer Name <b>and</b> Ticker or Trading Symbol Honest Company, Inc. [HNST]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Manner Jasmin</u>	<u>Manner Jasmin</u>		<u>inonest company, me.</u> [ intor ]		Director	10% Owner			
				x	Officer (give title	Other (specify			
(Last) (Fil	(First) (N		3. Date of Earliest Transaction (Month/Day/Year)		below)	below)			
(Last) (First) (Middle) 12130 MILLENNIUM DRIVE, SUITE 500			11/16/2021		Chief Commercial Officer				
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable					
(Street)				Line)					
LOS ANGELES CA	A	90094		X	Form filed by One Report	ting Person			
					Form filed by More than (	One Reporting			
(City) (St	tate)	(Zip)			Person				
	iaic)	( <sup>2</sup> ih)							

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	11/16/2021		М		8,089	A	\$5.225	145,519 <sup>(1)</sup>	D	
Common Stock	11/16/2021		<b>S</b> <sup>(2)</sup>		8,089	D	\$10	137,430(1)	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

										-					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (Right to Buy)	\$5.225	11/16/2021		М			8,089	(3)	02/28/2030	Common Stock	8,089	\$0	25,245	D	

Explanation of Responses:

1. Includes 137,430 Restricted Stock Units which are payable in an equivalent number of shares of the Issuer's common stock.

2. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 15, 2021.

3. Option is fully vested.

**Remarks:** 

/s/ Brendan Sheehey, Attorney-11/18/2021

<u>in-Fact</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.